

STATE OF NORTH CAROLINA



Department of The
Secretary of State

December 15, 2022

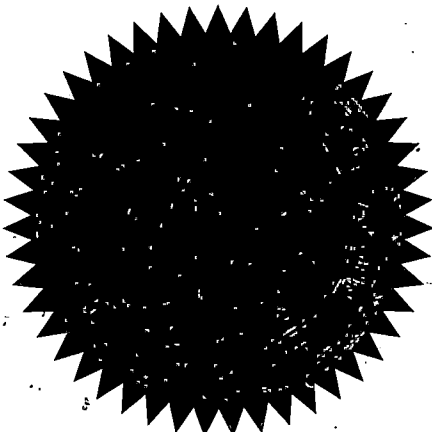
Ian Tucker Management LLC
5917 Appomattox Dr.
Wilmington, NC 28409

NORTH CAROLINA LOAN BROKER FILING
Registration #476

Dear Sir/Madam:

This is to acknowledge of the loan broker registration filing for **Ian Tucker Management LLC d/b/a Grafton Funding** on December 13, 2022.

Registration shall be effective for a period of one year. Registration shall be renewed annually by the filing of a form prescribed by the Secretary of State for such purpose. The registration application or the renewal application shall automatically become effective upon the expiration of seven business days following the filing with the Secretary of State.



Elaine J. Marshall

Secretary of State



December 5th, 2022

Department of the Secretary of State
Attn: Wendy Haynes / Loan Broker registration
2 South Salisbury Street
Raleigh, NC 27601-2903

Re: Ian Tucker Management LLC, d/b/a Grafton Funding
North Carolina Disclosure Statement

Dear Sir/Madam,

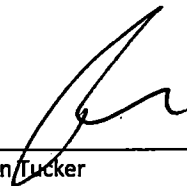
We write to submit Grafton Funding's Disclosure Statement for your review. Enclosed please find the following:

1. Disclosure Statement – Two (2) copies; and
2. Surety bind in the amount of \$10,000

Grafton Funding provides its services to clients free of charge and does not collect an advance fee, a placement fee, or other compensation from its clients. The company is compensated only by its lender partners upon successful placement of a borrower with the lender. Moreover, while Grafton Funding asks its prospective clients to agree to its website terms of service and privacy policy prior to using its services, the company does not otherwise contract with borrowers to perform specific broker services on a case-by-case basis. Thus, Grafton Funding complies with the § 66-106 Disclosure Statement requirement by making the enclosed disclosures available on its website and referenced in the Terms of Service that prospective borrowers review prior to accepting and using Grafton Funding's loan broker service.

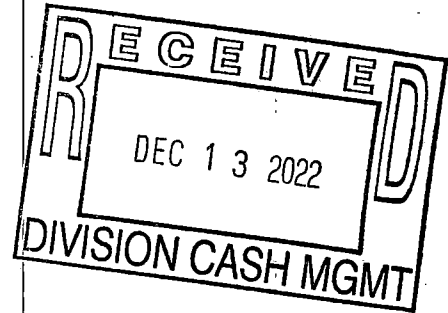
If you have any questions or require additional information, please contact us at info@graftonfunding.com

Sincerely,



Ian Tucker
Founder & CEO

Ian Tucker Management LLC
d/b/a Grafton Funding
5917 Appomattox Dr
Wilmington, NC 28409



DISCLOSURES REQUIRED BY NORTH CAROLINA LAW

The State of North Carolina has not reviewed and does not approve, recommend, endorse or sponsor any loan brokerage contract. The information contained in this disclosure has not been verified by the State. If you have any questions see an attorney before you sign a contract or agreement.

Dear Client.

This letter is intended to provide disclosures, required by the State of North Carolina, in a simple and legible manner. It is our intention to be as transparent as possible, providing you with information you need to make the best decision for your business.

Name of Broker

Ian Tucker Management LLC is Texas LLC, operating since 2016. The company also does business as Grafton Funding.

Officers

The only officer of the company, and the only person responsible for day-to-day activities, is the President & CEO, Ian Tucker, with an address at 5917 Appomattox Dr, Wilmington, NC 28409.

Total Brokerage Contracts and Financings

Over the past 12 months as a loan broker in the State of North Carolina, we have entered into loan brokerage contracts for five clients and have secured loans for three clients within North Carolina.

Financial Statement

As required, we have included a copy of our recent financial statement. You can find that attached as Exhibit A.

Broker Services

Grafton Funding is a brokerage that connects small business owners and property investors with funding providers for business and property investors.

Interested borrowers may visit our website (<https://www.graftonfunding.com>), or call/email us, and complete a verbal or written questionnaire. Borrowers may request a range of financing products, including business term loans, SBA loans, equipment leasing, merchant cash advances and real estate loans.

Once a borrower completed a questionnaire, we connect the borrower to a lender that matches their financing needs and qualifications. The borrower may then choose to submit formal applications to secure financing offers. Throughout this process, Grafton Funding works with each borrower to assemble necessary documentation, complete required forms, and will endeavor to keep the clients informed with fair, transparent information about available financing options.

No honest broker can guarantee that a borrower will receive a loan, and we do not do so here. As part of our agreement and Terms of Service with a borrower, we agree to make reasonable efforts to secure offers for financing products or loans from lender partners within the Grafton Funding.

Payment

This service is free to you. We do not charge borrowers to use the Grafton Funding service. If you secure a loan offer and decide to accept, your lender will pay us a variable origination fee of between 1-10% of the size of the loan. If you decide to renew with your lender and/or to finance additional projects, we may earn the same fee on any additional loan products.

Surety Bond

As required by North Carolina law, Grafton Funding has secured a bond in the amount of \$10,000 by SureTec Insurance Company, a surety authorized to do business in this State. Before signing a contract with this loan broker, you should check with the surety company to determine the bond's current status.

Thank you for using Grafton Funding. Please reach out to us at info@graftonfunding.com if you have any concerns.

Sincerely,

Grafton Funding Team
info@graftonfunding.com

EXHIBIT A
Ian Tucker Management LLC Balance Sheet (Unaudited)
as of November 30th, 2022

Assets

Total Assets \$21,010

Liabilities

Total Liabilities \$864

Equity

Total Owners Equity \$20,146

Total Liabilities & Equity \$21,010

LOAN BROKER SURETY BOND

KNOW ALL MEN BY THESE PRESENTS, THAT we Ian Tucker Management LLC DBA Grafton Funding
SureTec Insurance Company
, as PRINCIPAL and _____
, as SURETY are held and firmly bound unto the STATE OF NORTH CAROLINA, as OBLIGEE, for
the use and benefit of any person who had successfully prosecuted a cause of action arising
under Article 20, Chapter 66 of the North Carolina General Statutes (LOAN BROKER DISCLOSURE
ACT) in the sum of TEN THOUSAND DOLLARS (\$10,000) for the payment of which sum will and
surely to be made, we bind ourselves, our heirs, executors, administrators, successors and
assigns, jointly and severally, firmly by these presents.

THE CONDITIONS OF THE ABOVE OBLIGATION ARE SUCH THAT:

WHEREAS, the above-mentioned PRINCIPAL has or is about to file a DISCLOSURE
STATEMENT with the Secretary of State of North Carolina as required by N.C.G.S. §66-108 and
which is a condition precedent to engaging in business as a loan broker in the State of North
Carolina:

NOW, THEREFORE, if said PRINCIPAL shall faithfully comply with the provisions of the
NORTH CAROLINA LOAN BROKER DISCLOSURE ACT and all amendments thereto, now and
hereafter enacted, then this obligation shall be null and void; otherwise to be and remain in full
force and effect.

This bond may be cancelled by the SURETY at the end of thirty (30) days from the date
upon which the SURETY shall have filed notice with the PRINCIPAL and the SECRETARY OF
STATE OF THE STATE OF NORTH CAROLINA to cancel. This provision, however, shall not
operate to relieve, release or discharge the surety from any liability already accrued or which
shall accrue before the expiration of the thirty (30) day period.

IN WITNESS WHEREOF, said PRINCIPAL acting through its duly authorized officer, has
hereunto set its hand and seal, and the said SURETY has caused these presents to be signed by
its duly authorized officer and its corporate seal to be hereunto affixed this 5th day of
December 2022

Ian Tucker Management LLC DBA Grafton Funding
Principal

By: _____
Name and Official Position

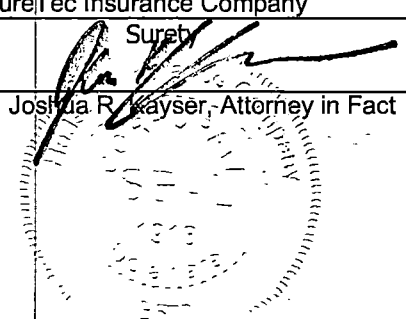
SureTec Insurance Company
Surety

By: _____
Joshua R. Kayser - Attorney in Fact

COUNTERSIGNED:

N/A Retaliatory

Licensed Resident Agent



SureTec Insurance Company

LIMITED POWER OF ATTORNEY

Know All Men by These Presents, That SURETEC INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Texas, and having its principal office in Houston, Harris County, Texas, does by these presents make, constitute and appoint

Joshua R. Kayser

its true and lawful Attorney-in-fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include waivers to the conditions of contracts and consents of surety for:

Principal: Ian Tucker Management LLC DBA Grafton Funding
Obligee: North Carolina Secretary of State
Amount: \$ 10,000.00

and to bind the Company thereby as fully and to the same extent as if such bond were signed by the President, sealed with the corporate seal of the Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolutions of the Board of Directors of the SureTec Insurance Company:

Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and of behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached. (Adopted at a meeting held on 20th of April, 1999.)

In Witness Whereof, SURETEC INSURANCE COMPANY has caused these presents to be signed by its President, and its corporate seal to be hereto affixed this 27th day of October, A.D. 2020.

SURETEC INSURANCE COMPANY

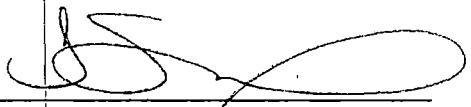
By: 
Michael C. Keimig, President



State of Texas ss:
County of Harris

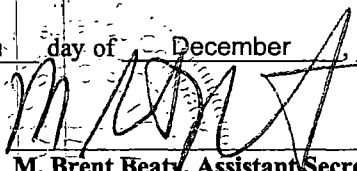
On this 27th day of October, A.D. 2020 before me personally came Michael C. Keimig, to me known, who, being by me duly sworn, did depose and say, that he resides in Houston, Texas, that he is President of SURETEC INSURANCE COMPANY, the company described in and which executed the above instrument; that he knows the seal of said Company; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said Company; and that he signed his name thereto by like order.




Tanya Sneed, Notary Public
My commission expires March 30, 2023

I, M. Brent Beaty, Assistant Secretary of SURETEC INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Company, which is still in full force and effect; and furthermore, the resolutions of the Board of Directors, set out in the Power of Attorney are in full force and effect.

Given under my hand and the seal of said Company at Houston, Texas this 5th day of December, 2022, A.D.


M. Brent Beaty, Assistant Secretary

Any instrument issued in excess of the penalty stated above is totally void and without any validity. For verification of the authority of this power you may call (713) 812-0800 any business day between 8:30 am and 5:00 pm CST.